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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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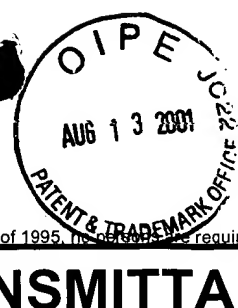
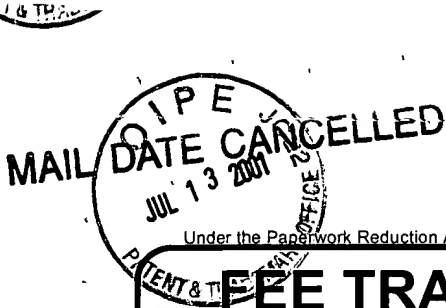
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/836,884	
	Filing Date	April 17, 2001	
	First Named Inventor	Denis	
	Group Art Unit	2811	
	Examiner Name		
Total Number of Pages in This Submission	12	Attorney Docket Number	H-303

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice to file Missing Parts Declaration (x2) Amended Data Sheet Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David J. Cole
Signature	<i>David J. Cole</i>
Date	August 9, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 08/09/2001			
Typed or printed name	David J. Cole		
Signature	<i>David J. Cole</i>	Date	August 9, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2001	
Patent fees are subject to annual revision.	
TOTAL AMOUNT OF PAYMENT	(\$) 537

Complete if Known	
Application Number	09/836,884
Filing Date	April 17, 2001
First Named Inventor	Denis
Examiner Name	
Group Art Unit	2811
Attorney Docket No.	H-303

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	501162
Deposit Account Name	E INK Corporation
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$) 355

2. EXTRA CLAIM FEES									
Total Claims	33	-20** =	13	X	Fee from below	9	=	Fee Paid	117
Independent Claims	2	-3** =	0	X	40	=	0		
Multiple Dependent									
Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description					
103 18	203 9			Claims in excess of 20					
102 80	202 40			Independent claims in excess of 3					
104 270	204 135			Multiple dependent claim, if not paid					
109 80	209 40			** Reissue independent claims over original patent					
110 18	210 9			** Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2)			(\$)		117				

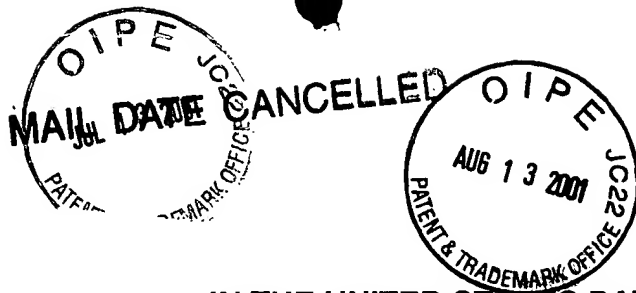
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$) 65

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David J. Cole	Registration No. (Attorney/Agent)	29629
Signature	<i>David J. Cole</i>	Telephone	617-499-6069
		Date	8/9/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2811
Application of: Denis et al.
Serial No.: 09/836,884
Filed: April 17, 2001
For: METHOD FOR FABRICATING THIN FILM TRANSISTORS

Cambridge, Massachusetts
August 9, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION, AND NOTIFICATION
OF CHANGE OF INVENTORS' ADDRESSES**

Box Missing Parts
Assistant Commissioner for Patents
Washington DC 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued June 13, 2001 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a Fee Transmittal (Form PTO/SB/17) authorizing the charging of the filing fee and the surcharge required by 37 CFR 1.16(e) to the assignee's Deposit Account. The duplicate copy of the Notice is also being returned herewith.

Because one of the inventors has left the assignee's employment and is currently residing in California, the enclosed Declaration is being submitted in two copies, one signed by the California inventor, and the other by the remaining four inventors. Based upon previous experience, it is believed that this is not objectionable to the Office.

The inventors Yu Chen and Paul S. Drzaic have both changed their addresses since this application was filed, and it is requested that the Office records be

Gates et al.
Serial No. 09/561,424
Response to Notice to File Missing Parts
Page 2

changed to reflect the new addresses. A corrected Data Sheet giving the new addresses accompanies each of the enclosed copies of the Declaration.

Respectfully submitted



David J. Cole
Registration No. 29629

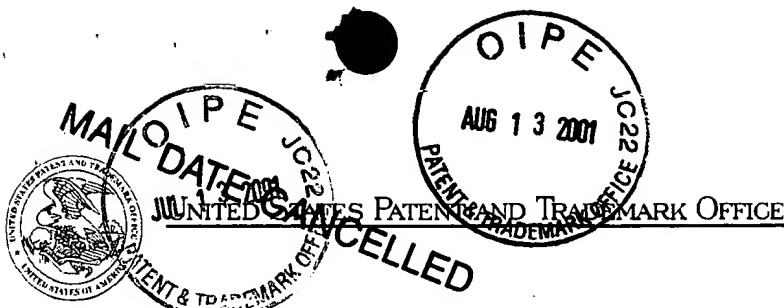
E INK Corporation
733 Concord Avenue
Cambridge MA 02138
Telephone (617) 499-6069

CERTIFICATE OF MAILING

I hereby certify that this paper, dated August 9, 2001, is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington DC 20231, on *August 9, 2001*.



David J. Cole



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836,884	04/17/2001	Kevin L. Denis	H-303

CONFIRMATION NO. 5188

26245
DAVID J COLE
E INK CORPORATION
733 CONCORD AVE
CAMBRIDGE, MA 02138-1002

FORMALITIES LETTER



OC00000006177161

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/17/2001 HWOLDER1 00000066 501162 09836884

01 FC:205 65.00 CH
02 FC:201 355.00 CH
03 FC:203 117.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$117.
 - \$117 for 13 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 537.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE